

A New Algorithm for Constructing Internal Skew Prime Polynomial Matrices

Wang Qingguo, Sun Youxian, Zhou Chunhui

(Department of Chemical Engineering, Zhejiang University, Hangzhou)

Abstract

A new algorithm is presented for constructing an internally skew prime pair of polynomial matrices from a given pair of polynomial matrices and its effectiveness is illustrated by an example.

1. Introduction

Consider any $(p \times p)$ polynomial matrix $D(s)$ and $(p \times m)$ polynomial matrix $N(s)$. If $D(s)$ is nonsingular, then $D(s)$ and $N(s)$ are called externally skew prime [1] if and only if there exists a pair of polynomial matrices, $\bar{N}(s)$ and $\bar{D}(s)$, of dimensions $p \times m$ and $m \times m$, such that

$$D(s)N(s) = \bar{N}(s)\bar{D}(s) \quad (1)$$

with $D(s)$ and $\bar{N}(s)$ relatively left prime and $N(s)$ and $\bar{D}(s)$ relatively right prime. In that case, $\bar{D}(s)$ and $\bar{N}(s)$ are called internally skew prime [1]. The primary objective of this note is to present a new algorithm for constructing such an internal skew prime $\bar{D}(s)$ and $\bar{N}(s)$. It is now well known [2]–[4] that the problem considered is crucial for regulator synthesis of a linear multivariable system. The main result is developed in Section 2 and an example is also given to illustrate the result.

2. The Result

Assume that a $(p \times p)$ polynomial matrix D and a $(p \times m)$ polynomial matrix N are given with D nonsingular and N of full row rank.

Further assume that $\sigma(D)$ and $\sigma(N)$ are distinct, i.e. $\sigma(D) \cap \sigma(N) = \phi$, where $\sigma(N)$ denotes the set of all c such that $N(c)$ is of rank defect.

Lemma 1 If D and N satisfy assumptions described above, then D and N are externally skew prime.

Proof: This lemma follows from Lemma 6 in [3]. Its proof is straightforward and, is omitted here.

It is well known that a polynomial matrix is equivalent to its Smith form by elementary operations [5]. For D and N we have

$$D = L_1 S_1 R_1 \quad (2)$$

$$N = L_2 S_2 R_2 \quad (3)$$

$$DN = L_3 S_3 R_3 \quad (4)$$

where S_i , $i=1,2,3$, are in the Smith forms, and L_i and R_i , $i=1,2,3$, are unimodular. we now are ready to state and establish the following.

Theorem 1 If D is nonsingular, N of full row rank, and $\sigma(D) \cap \sigma(N) = \phi$, then D and N are skew-prime, and \bar{D} and \bar{N} , defined by

$$\bar{D} = \text{Block diag}\{S_1, I\} R_3 \quad (5)$$

$$\bar{N} = L_3 S_2 \quad (6)$$

are internally skew prime, where I is identity matrix of order $(m-p)$.

In view of Lemma 1, we only need to prove that \bar{D} and \bar{N} are internally skew prime. In order to do this, we need the following first.

Lemma 2 If D is nonsingular, N of full row rank, and $\sigma(D) \cap \sigma(N) = \phi$, then the Smith form of DN is the product of those of D and N .

Proof: From (2) and (3), $DN = L_1(S_1 R_1 L_2 S_2) R_2$, the Smith form of DN is the same as $S_1 R_1 L_2 S_2$ because L_1 and R_2 are unimodular. It is therefore sufficient to prove that the Smith form of $S_1 M S_2$ is $S_1 S_2$, where M is unimodular. Let $Q = S_1 M S_2$, and denote by d_{1k} , d_{2k} , and d_{3k} the determinantal divisors of order k of S_1 , S_2 and Q , respectively. A determinantal divisor of order k of a polynomial matrix is the greatest common divisor of all its minors of order k . we know that the Smith form S of Q is

$$S = \left(\begin{array}{ccc|c} \lambda_{31} & & & 0 \\ & \lambda_{32} & & \\ 0 & & \ddots & \\ & & & \lambda_{3p} \end{array} \right)$$

where $\lambda_{3i} = d_{3i}d_{3,i-1}^{-1}$, $i = 1, 2, \dots, p$, and $d_{30} = 1$. Similar statements can be applied to S_1 and S_2 . Set $Q = S_1Q_1$, where $Q_1 = MS_2$, applying the Binet-Cauchy formula [5], we can express a minor of Q of order k as a linear combination of minors of S_1 of the same order. It therefore follows that

$$d_{3k}d_{1k}^{-1} = p_{1k} \quad k = 1, 2, \dots, p, \quad (7)$$

where p_{1k} is polynomial. This also means that d_{1k} is a divisor of d_{3k} . In a similar way, by setting $Q = Q_2S_2$ where $Q_2 = S_1M$, one can show

$$d_{3k}d_{2k}^{-1} = p_{2k}, \quad k = 1, 2, \dots, p \quad (8)$$

where p_{2k} is a polynomial. For a fixed k , partition M into $[M_k : M_{p-k}]$, where M_k is the first k columns of M . It can be noted that $g_k m_k d_{2k}$ is a minor of order k of Q , where m_k is any minor of order k of M_k and g_k is the product of the corresponding diagonal elements of S_1 to m_k . The greatest common divisor of all m_k must be a nonzero real constant, otherwise Laplace expansion of the determinant would show that M were not unimodular. Therefore the greatest common divisor of all $g_k m_k d_{2k}$ can be expressed as $G_{1k} d_{2k}$ where G_{1k} is a polynomial and $\sigma(G_{1k}) \subset \sigma(S_1) = \sigma(D)$. By the definition of d_{3k} , we have

$$G_{1k} d_{2k} d_{3k}^{-1} = \overline{p_{2k}}, \quad k = 1, 2, \dots, p \quad (9)$$

where $\overline{p_{2k}}$ is a polynomial, similarly one may show

$$G_{2k} d_{1k} d_{3k}^{-1} = \overline{p_{1k}}, \quad k = 1, 2, \dots, p \quad (10)$$

where G_{2k} and $\overline{p_{1k}}$ are polynomials and $\sigma(G_{2k}) \subset \sigma(S_2) = \sigma(N)$. Factorize d_{3k} into $d_{3k} = d_{3k}^+ d_{3k}^-$ where $\sigma(d_{3k}^+) \subset \sigma(D)$ and $\sigma(d_{3k}^-) \subset \sigma(N)$, then we see that (7) and (10) are satisfied simultaneously if and only if both $d_{3k}^+ d_{1k}^{-1}$ and $d_{1k} (d_{3k}^+)^{-1}$ are polynomials. we thus have $d_{3k}^+ = d_{1k}$. Similarly, we also have $d_{3k}^- = d_{2k}$. In view of these relations, we obtain

$$\begin{aligned} \lambda_{3k} &= d_{3k} (d_{3,k-1})^{-1} = d_{3k}^+ (d_{3,k-1}^+)^{-1} d_{3k}^- (d_{3,k-1}^-)^{-1} \\ &= (d_{1k} d_{1,k-1}^{-1}) (d_{2k} d_{2,k-1}^{-1}) \\ &= \lambda_{1k} \lambda_{2k} \end{aligned}$$

The proof is thus completed.

Now we can prove Theorem 1.

From Lemma 2, $DN = L_3 S_3 R_3 = L_3 S_1 S_2 R_3$ for some unimodular matrices L_3 and R_3 . Set $\bar{D} = \text{Block diag}\{S_1, I\} R_3$, then $\det(\bar{D}) = a_1 \det(S_1) = a_2 \det(D)$ with a_1 and a_2 being nonzero real numbers. Since $DN\bar{D}^{-1} = L_3 S_2 = \bar{N}$, a polynomial matrix, then $DN = \bar{N}\bar{D}$. Furthermore, D and \bar{N} must be left coprime because otherwise there is a nonunimodular common divisor Q such that $\sigma(Q) \subset \sigma(D)$ and $\sigma(Q) \subset \sigma(\bar{N}) = \sigma(S_2) = \sigma(N)$, which contradicts the fact that $\sigma(D) \cap \sigma(N) = \emptyset$. In a similar way, one can show that \bar{D} and N are right prime. The proof is thus completed.

Remark The above construction of \bar{D} and \bar{N} is essentially different from those given by Wolovich [1] and Chen and Pearson [3] who considered the problem of determining the solution X and Y of the equation

$$N(s)X(s) + Y(s)D(s) = I$$

for skew prime N and D .

We next consider an example [1]. Suppose that

$$D(s) = \begin{bmatrix} 1 & 0 \\ -1 & s+1 \end{bmatrix}, \quad N(s) = \begin{bmatrix} 1 & 1 \\ 0 & s \end{bmatrix}$$

$\sigma(D) \cap \sigma(N)$ is clearly empty and by Lemma 1, D and N are externally skew prime. Furthermore, it follows from some simple calculations that

$$D(s) = \begin{bmatrix} 1 & 0 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & s+1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} := L_1 S_1 R_1$$

$$N(s) = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & s \end{bmatrix} \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} := L_2 S_2 R_2$$

and

$$\begin{aligned} D(s)N(s) &= \begin{bmatrix} 1 & 0 \\ -1 & s+1 \end{bmatrix} \begin{bmatrix} 1 & 1 \\ 0 & s \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ -1 & s^2 + s - 1 \end{bmatrix} \\ &= \begin{bmatrix} 1 & 0 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & s^2 + s \end{bmatrix} \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} := L_3 S_3 R_3 \end{aligned}$$

As shown in Lemma 2, we see that

$$S_3 = S_1 S_2$$

By the Theorem, we obtain

$$\overline{D}(s) = S_1 R_3 = \begin{pmatrix} 1 & 0 \\ 0 & s+1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 0 & s+1 \end{pmatrix}$$

$$\overline{N}(s) = L_3 S_2 = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & s \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -1 & s \end{pmatrix}$$

which are internally skew prime.

3. Conclusion

A new algorithm has been presented for constructing an internally skew prime pair of polynomial matrices.

References

- [1] W.A.Wolovich, Skew prime polynomial matrices, IEEE Trans. Automat. Contr., Vol.AC-23, (1978), 880-887.
- [2] W.A.Wolovich and P.Ferreira, Output regulation and tracking in linear multivariable system, IEEE Trans. Automat.Contr., Vol. AC-24, (1979), 460-465.
- [3] L.Chen and J.B.Pearson, Frequency domain synthesis of multivariable linear regulators, IEEE Trans.Automat. Contr., Vol.AC-23, (1978), 3-15.
- [4] Q.G.Wang, Modelling and Control of Multivariable Systems: New Results and Applications. Ph.D.Thesis, Zhejiang University, (1987).
- [5] F.R.Gantmacher, Theory of Matrices, Vol.I, New York: Chelsea, (1959).

一个构造ISP多项式矩阵的新算法

王庆国 孙优贤 周春晖

(浙江大学化工系, 杭州)

摘 要

本文给出了一个构造ISP (Internally Skew Prime) 多项式矩阵的新算法, 例子说明了算法的应用。